

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
CHARLOTTE DIVISION
3:12CV803

SECURITIES AND EXCHANGE)	
COMMISSION,)	
)	
Plaintiff,)	
)	
Vs.)	ORDER
)	
JOHN W. FEMENTIA, et al.,)	
)	
Defendants,)	
And)	
)	
KRISTINE LACK and CHRISTINE)	
MUSANTE,)	
)	
Relief Defendants.)	
_____)	

This matter is before the Court upon Plaintiff's Motion for Civil Penalties against Defendants James A. Hayes and Frank M. Burgess. A hearing was held in this matter on April 1, 2014. For the reasons stated in open Court,

IT IS THEREFORE ORDERED that Plaintiff's motion is denied and the Court hereby declines to impose civil penalties against these defendants.

Signed: April 1, 2014



Graham C. Mullen
United States District Judge

